



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 39/395	A1	(11) International Publication Number: WO 98/13067 (43) International Publication Date: 2 April 1998 (02.04.98)
<p>(21) International Application Number: PCT/US97/16915</p> <p>(22) International Filing Date: 23 September 1997 (23.09.97)</p> <p>(30) Priority Data: 60/026,643 24 September 1996 (24.09.96) US</p> <p>(71) Applicants (for all designated States except US): PROTEIN DESIGN LABS, INC. [US/US]; 2375 Garcia Avenue, Mountain View, CA 94043 (US). HOFFMANN LA ROCHE, INC. [US/US]; 340 Kingsland Street, Nutley, NJ 07110 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): LIGHT, Susan [US/US]; 581 Green Hill Road, Kinnetown, NJ 07405 (US). QUEEN, Cary [US/US]; 622 Benvenue Avenue, Los Altos, CA 94022 (US).</p> <p>(74) Agents: LIEBESCHUETZ, Joe et al.; Townsend and Townsend and Crew LLP, 8th floor, Two Embarcadero Center, San Francisco, CA 94111-3834 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p>	
<p>(54) Title: METHOD OF PREVENTING ACUTE REJECTION FOLLOWING SOLID ORGAN TRANSPLANTATION</p> <p>(57) Abstract</p> <p>The invention provides methods of preventing acute rejection following renal or other solid organ transplantation. The methods entail administering, e.g., intravenously, to a transplant patient a monoclonal antibody which binds to the p55 subunit of the human interleukin-2 (IL-2) receptor of human T lymphocytes. The monoclonal antibody is preferably a chimeric or humanized antibody that blocks binding of IL-2 to the IL-2 receptor. In some methods, a single dose of about 1 mg/kg of antibody is administered about every other week, commencing immediately prior to transplantation and continuing until 8 weeks after transplantation.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

5 METHOD OF PREVENTING ACUTE REJECTION
 FOLLOWING SOLID ORGAN TRANSPLANTATION

 CROSS-REFERENCE TO RELATED APPLICATION

 The present application derives priority from USSN
10 60/026,643, filed September 24, 1996, which is incorporated by
reference in its entirety for all purposes.

 FIELD OF INVENTION

 This invention relates generally to the use of a
15 monoclonal antibody which binds to the p55 subunit of the
human interleukin-2 ("IL-2") receptor of human T lymphocytes.
Specifically, a genetically engineered, chimeric or humanized
monoclonal antibody which binds to the p55 subunit of the IL-2
receptor of human T lymphocytes is used to prevent acute
20 rejection following renal or other solid organ transplantation
by intravenous administration of the antibody to a transplant
patient.

 BACKGROUND

25 Renal transplantation is the definitive therapy for
chronic renal failure and has improved the quality of and
prolonged the life of thousands since its inception over three
decades ago. Despite significant advances in understanding of
tissue typing and immunosuppression and the availability of
30 better immunosuppressive agents, acute rejection remains a
serious clinical problem. In the absence of successful
therapies, rejection will lead to graft failure in some
patients, requiring reinstitution of dialysis and the search
for another donor kidney. With the use of cyclosporine in
35 conjunction with other immunosuppressive agents, the one-year
graft survival rate for cadaver allografts is in the range of
80%, but graft half-life remains less than optimal in the
range of 7.2 years. Kirkman et al., *Transplantation*,

1991:51:107-113. Other types of solid organ transplantation, e.g., heart, liver and lung, also save the lives of thousands of patients each year, but here also acute rejection remains a serious clinical problem only partially controlled by current immunosuppressive drugs.

Current immunosuppressive therapy for acute rejection associated with renal or other solid organ transplantation consists of multiple drugs that interfere with the function of the immune system at various levels. In addition to the complications of over-immunosuppression that may result from the use of multiple drugs, each has its own unique toxicity profile, which may limit its usefulness.

T lymphocytes are known to play a key role in allograft rejection. Activated T lymphocytes have been identified as IL-2 receptor bearing cells. Several murine anti-IL-2 receptor antibodies have been administered in clinical trials for the prophylaxis and treatment of allograft rejection. Carpenter, CB et al., *Am J. Kid Dis.* 1989:14:54-57; Kirkman, RL et al., *Transplantation*, 1991:51:107-113 (anti-Tac); Souillou, PJ et al., *Lancet*, June 13, 1987:1339-1342; Souillou, JP et al., *N Eng J Med*, 1990:322:1175-1182 (33B3.1); Herve P et al., *Blood*, 1990:75:1017-1023 (B-B10); Nashan et al., *Transplantation*, 1996:61:546-554.

Murine anti-Tac is a monoclonal antibody that binds to the p55 subunit of the IL-2 receptor of human T and B lymphocytes, blocking the formation of the high-affinity IL-2 receptor and subsequent activation by IL-2. The ability of murine anti-Tac ("MAT") to decrease the number of acute rejection episodes and to delay the first rejection episode following cadaveric renal allograft has been analyzed. Kirkman, RL et al., *Transplantation*, 1991:51:107-113. For 80 patients randomized to receive either standard immunosuppressive therapy (cyclosporine 8 mg/kg/day, prednisone, and azathioprine) or a reduced dose of cyclosporine (4 mg/kg/day), prednisone, azathioprine, and MAT for 10 days post transplantation, the number of patients experiencing an acute rejection episode in the first 10 days post transplant was significantly less in the MAT group as

compared with the standard group (5/40 vs. 21/40, $p < 0.001$). In addition, the time to the first rejection episode was greater in the MAT group (12.5 days vs. 7.6 days, $p < 0.05$). However, eventually, the number of rejection episodes between
5 the two groups was not statistically different: 14/40 in the MAT group and 24/40 in the standard group. Further, antibodies to MAT were detected in 7 of 10 patients tested.

Development of an immunogenic response is typical when a mouse antibody is injected into human patients. That
10 is, the injected mouse antibodies are recognized by the immune system as foreign proteins and provoke a human anti-mouse antibody (HAMA) response, which rapidly neutralizes the mouse antibodies and renders them ineffective for further therapy. It has been proposed that the HAMA problem can be reduced or
15 eliminated by use of genetic engineering to transform mouse monoclonal antibodies into more human-like antibodies, utilizing the understanding of antibody structure that scientists have obtained.

Zenapax[®] (dacliximab) is a humanized anti-Tac
20 ("HAT") antibody, a humanized form of murine anti-Tac described in US Patent No. 5,530,101 and 5,585,089 and Queen et al. 1989:86:1029-10033, all of which are incorporated herein by reference. HAT comprises heavy and light chain variable domains having amino acid sequences designated SEQ.
25 ID. No. 1 and SEQ. ID. No. 2 respectively. Studies of HAT in primate transplant models have reported HAT to be less immunogenic than MAT and to have a longer half-life. Hakimi et al., J. Immun. 1991:147:1352-1359.

The safety and pharmacokinetics of a single IV
30 administration of HAT has been evaluated in a Phase I study. Six patients having Tac-bearing tumors received a single dose of Zenapax[®] and were followed for 56 days. Four patients received 0.5 mg/kg, and two received 1.0 mg/kg. The only HAT-related adverse events reported were hives, flank pain, and
35 lower extremity pain and edema in one patient who received 0.5 mg/kg of Zenapax[®]. No tumor responses were observed. One patient who received 0.5 mg/kg of HAT developed an anti-idiotypic antibody to HAT[®].

A second phase I study in patients with steroid-resistant acute graft-versus-host disease ("GVHD") was conducted at two centers. Anasetti, C. et al., *Blood*, 1994:84:1320-1327. One center was Fred Hutchinson Cancer Research Center in Seattle, Washington. A second center was Vancouver General Hospital in Vancouver, Canada. In the published study, between the two centers, four patients received a single dose of HAT of 0.5 mg/kg, four patients received a single dose of Zenapax[®] of 1.0 mg/kg, and twelve patients received a single dose of Zenapax[®] of 1.5 mg/kg, with a maximum dose of 100 mg. No serious adverse events related to HAT were noted. The only two adverse events felt to be possibly related to HAT were diaphoresis in one patient and chills in another, both at the 0.5 mg/kg level. The protocol allowed for re-treatment at the same dose level, and eight patients received a second dose. No acute adverse events were reported with re-administration of Zenapax[®]. Patients were evaluated for response on day 29, and 4 had a complete response and 4 had a partial response. Responses were seen at all dose levels, and no dose response relationship was seen. Fluorescent activated cell sorter analysis of peripheral blood lymphocytes showed that HAT was bound to the Tac (p55) portion of the IL-2 receptor for up to 28 days following a single dose of Zenapax[®]. All but one patient who survived > 100 days developed chronic GVHD. None of the patients developed anti-HAT antibodies.

Additional patients with steroid-resistant GVHD were treated with a single dose of Zenapax[®] in 3 centers in Italy. Pinto R.M., 21st Meeting of the EMBT, Davos, Switzerland, March 1995. Patients were followed for safety, efficacy and pharmacodynamics. No serious adverse events related to HAT were reported, and 3 patients achieved a response.

A phase II/III, blinded, placebo-controlled, multidose trial for the prevention of acute GVHD in bone marrow transplantation was conducted at 12 centers. Anasetti, C., *Blood* 1995:86, Supplement 1:621a. In addition to a standard immunosuppressive regimen of cyclosporine and

methotrexate to prevent GVHD, patients were treated with placebo, Zenapax[®] 0.3 mg/kg, or Zenapax[®] 1.2 mg/kg weekly for five doses beginning the day before bone marrow transplantation. However, no significant difference in the incidence of acute GVHD in the placebo and Zenapax-treated groups was observed, that is, Zenapax was not effective in the prevention of graft-versus-host disease in this study.

A phase I, randomized, open label, multidose study in patients receiving first renal transplants was conducted at two centers. The purpose of the study was to evaluate the safety, pharmacokinetics-dynamics and immunosuppressive effect of HAT. In one center, 12 patients were evaluated. Vincenti, F. et al., *Proceedings of the 14th Annual Meeting of the American Society of Transplant Physicians*, Chicago, Illinois, May 14-17, 1995, p. 90 (abstract 68); Vincenti et al., *Transplantation* 1997:63:33-38. Ten patients received living related transplants (3 HLA identical and 7 one and zero haplotype match) and two patients received cadaveric transplants. Of the 12 patients, 4 received 0.5 mg/kg/week of Zenapax[®]; 3 received 0.5 mg/kg/every other week of Zenapax[®]; 2 received 1 mg/kg/week of Zenapax[®]; and 3 received 1 mg/kg/every other week of Zenapax[®]. All three patients receiving 1 mg/kg/every other week of Zenapax[®] received living related transplants. Zenapax[®] was administered intravenously over 30 minutes to all patients in combination with standard three-drug immunosuppressive therapy (cyclosporine, azathioprine, and prednisone), for a total of five doses. The first dose of Zenapax[®] was given within 12 hours prior to transplantation and the 4 additional doses were given in the weeks following transplantation. No serious adverse events possibly or probably related to HAT have been reported. One rejection episode was experienced on day 7 by a patient who received a cadaveric transplant and had been randomized to receive Zenapax[®] at a dose of 0.5 mg/kg/every other week. One patient developed low-titer anti-HAT antibodies. Pharmacokinetics-dynamics results indicated that Zenapax[®] given at 1 mg/kg/every other week results in good saturation of Tac receptors. Based on this study HAT appears to be safe

and well tolerated by patients. However, no conclusions on the efficacy of Zenapax for prevention of kidney transplant rejection could be drawn from this small, phase I study which had no placebo control group.

5

SUMMARY OF THE INVENTION

The invention provides methods of preventing acute rejection following transplant of a kidney or other solid organ. Some such methods entail administering to a transplant patient a therapeutically effective dosage of a chimeric or
10 humanized monoclonal antibody that binds to the p55 subunit of the human interleukin-2 (IL-2) receptor and inhibits binding of IL-2 to an IL-2 receptor. In some methods, the monoclonal antibody is a humanized antibody. For example, the humanized
15 antibody can be the humanized anti-Tac antibody having a heavy chain variable region designated SEQ. ID. No. 1 and a light chain variable region designated SEQ. ID. No. 2, or other humanized antibody that competes with this anti-Tac antibody for binding to the p55 subunit of the IL-2 receptor. In some
20 methods, the monoclonal antibody is administered in combination with an effective dosage of at least one immunosuppressive agent other than monoclonal antibody. For example, the immunosuppressive agent can be mycophenolate mofetil, cyclosporine, methotrexate, azathioprine, or a
25 corticosteroid. Typically, monoclonal antibodies used in the methods have shown in a clinical trial a statistically significant reduction in rejection episodes for the 6 months following transplantation compared with administering cyclosporine and corticosteroid without the monoclonal
30 antibody. In some methods, the condition of the patient is monitored during and after antibody administration to observe a reduction in rejection episodes attributable to administration of the monoclonal antibody. In some methods, a single dose of about 1 mg/kg of antibody is administered
35 intravenously about every other week, commencing at the time of transplantation and continuing until at least 8 weeks after transplantation.

The invention further provides methods of reducing the incidence of rejection episodes following a renal transplant. The methods entail administering to the patient a therapeutically effective dose of a genetically engineered monoclonal antibody that binds to the p55 unit of the IL-2 receptor with an affinity constant of at least 10^8 M^{-1} . Often genetically engineered antibodies contain CDR regions from a mouse antibody, in which case, such genetically engineered monoclonal antibodies are less immunogenic than the mouse antibody in primates.

The invention further provides methods of preventing acute rejection following transplant of a solid organ. The methods entail administering to a patient in need of such prevention a therapeutically effective dose of a non-immunogenic genetically engineered, chimeric or humanized monoclonal antibody that competitively inhibits binding of the humanized anti-Tac antibody comprising a heavy chain variable region designated SEQ. ID. No. 1 and a light chain variable region designated SEQ. ID. No. 2 to the p55 subunit of the human interleukin-2 (IL-2) receptor.

DETAILED DESCRIPTION

The present invention provides methods of preventing acute rejection following transplantation of the kidney or other solid organ. The methods entail administering a monoclonal antibody which binds to the p55 subunit of the human interleukin-2 (IL-2) receptor on human T lymphocytes to a transplant patient. Monoclonal antibodies used in the methods include humanized and chimeric antibodies and other antibodies produced by genetic engineering.

I. Antibodies

(1) Specificity and Affinity

Monoclonal antibodies useful in the claimed methods typically bind to the p55 subunit of the IL-2 receptor with an affinity of at least 10^8 M^{-1} and preferably 10^9 M^{-1} or greater. Such monoclonal antibodies are typically humanized or chimeric antibodies, or are otherwise produced by genetic engineering

methods. Preferred monoclonal antibodies bind to the same or overlapping epitope as the anti-Tac and humanized anti-Tac antibodies. Two antibodies bind to the same or overlapping epitope if each competitively inhibits (blocks) binding of the other to the antigen. That is, a 1x, 5x, 10x, 20x or 100x excess of one antibody inhibits binding of the other by at least 50% but preferably 75%, 90% or even 99% as measured in a competitive binding assay (see, e.g., Junghans et al., *Cancer Res.* 1990:50:1495-1502). Alternatively, two antibodies have the same epitope if essentially all amino acid mutations in the antigen that reduce or eliminate binding of one antibody reduce or eliminate binding of the other. Two antibodies have overlapping epitopes if some amino acid mutations that reduce or eliminate binding of one antibody reduce or eliminate binding of the other.

Monoclonal antibodies useful in the invention block binding of IL-2 to the IL-2 receptor or its p55 subunit. That is, addition of the antibody at a concentration of 0.1, 0.5, 1, 2, 5, 10 or 20 ug/ml inhibits binding of IL-2 to the p55 subunit or IL-2 receptor on suitable cells (e.g., HuT-102, YT-S2, or PHA blasts) by about at least 50% but preferably 75%, 90% or even 99%, as assayed by methods well known in the art (see Hakimi et al., *J. Immunol.* 1993:151:1075-1085 and Junghans et al., *supra*, both of which are herein incorporated by reference). Preferred monoclonal antibodies at concentrations of 1, 5, 10 or 20 μ g/ml inhibit or block IL-2-dependent proliferation of appropriate cells by 50%, 75%, 90% or greater, for example of PHA stimulated peripheral blood mononuclear cells (PBMC), i.e., PHA blasts, or PBMC stimulated by tetanus toxoid or other antigen or mixed lymphocyte reaction (MLR), as assayed by art-known techniques (Hakimi et al., Junghans et al., *supra*).

Examples of antibodies, binding to the p55 subunit of the human interleukin-2 (IL-2) receptor of human T lymphocytes, and useful in the invention include chimeric anti-Tac antibody, described in patent application PCT/US89/01578; RFT5 chimeric antibody, described in EP 449 769 B1; BT563 described in Nasham, et al., *Transplantation*,

1996: 61: 546-554; a chimeric or humanized form of antibody 33B3.1 (Soulillou et al., *New Eng. J. Med.* 1990:322:1175-1182); and most preferably, humanized anti-Tac described in US Patent No. 5,530,101 or other humanized versions of anti-Tac. Other such antibodies can be produced by standard immunological and genetic engineering techniques.

(2) General Characteristics

10 Antibodies are very large, complex molecules (molecular weight of 150,000 or about 1320 amino acids) with intricate internal structure. A natural antibody molecule contains two identical pairs of polypeptide chains, each pair having one light chain and one heavy chain. Each light chain and heavy
15 chain in turn consists of two regions: a variable ("V") region involved in binding the target antigen, and a constant ("C") region that interacts with other components of the immune system. The light and heavy chain variable regions fold up together in 3-dimensional space to form a variable region that
20 binds the antigen (for example, a receptor on the surface of a cell). Within each light or heavy chain variable region, there are three short segments (averaging 10 amino acids in length) called the complementarity determining regions ("CDRs"). The six CDRs in an antibody variable domain (three
25 from the light chain and three from the heavy chain) fold up together in 3-D space to form the actual antibody binding site which locks onto the target antigen. The position and length of the CDRs have been precisely defined. Kabat, E. et al., U.S. Department of Health and Human Services (1983); Chothia
30 et al., *J. Mol. Biol.*, 196:901 (1987) (the definitions of CDRs provided by Kabat and by Chothia are somewhat different). The part of a variable region not contained in the CDRs is called the framework, which forms the environment for the CDRs.

35 A humanized antibody is a genetically engineered antibody in which the CDRs (hereinafter reference to CDR can include both the Kabat and Chothia CDRs) from a mouse antibody ("donor antibody", which can also be rat, hamster or other similar species) are grafted onto a human antibody ("acceptor

antibody"). Thus, a humanized antibody is an antibody having CDRs from a donor antibody and variable region framework and constant regions from a human antibody. In addition, in order to retain high binding affinity, at least one of two additional structural elements can be employed. See, US Patent No. 5,530,101 or 5,585,089, incorporated herein by reference.

In the first structural element, the framework of the heavy chain variable region of the humanized antibody is chosen to have maximal sequence identity (between 65% and 95%) with the framework of the heavy chain variable region of the donor antibody, by suitably selecting the acceptor antibody from among the many known human antibodies. In the second structural element, in constructing the humanized antibody, selected amino acids in the framework of the human acceptor antibody (outside the CDRs) are replaced with corresponding amino acids from the donor antibody, in accordance with specified rules. Specifically, the amino acids to be replaced in the framework are chosen on the basis of proximity to and contact with the CDRs. For example, the replaced amino acids can be adjacent to a CDR in the donor antibody sequence or within 4-6 angstroms of a CDR in the humanized antibody as measured in 3-dimensional space.

A chimeric antibody is a genetically engineered antibody in which the variable region of a mouse (or other rodent) antibody is combined with the constant region of a human antibody. Such antibodies retain the binding specificity of the mouse antibody, while being about two-thirds human. The proportion of nonhuman sequence present in mouse, chimeric and humanized antibodies suggests that the immunogenicity of a chimeric antibodies is intermediate between mouse and humanized antibodies. However, some chimeric antibodies have been reported to cause little or no HAMA response in human patients (e.g., LoBuglio et al., *Proc. Natl. Acad. Sci. USA* 1991:86:4220-4224), such as chRPT5 (Amlot et al., *Transplantation* 1995:60:748-756).

Other types of genetically engineered antibodies that may have reduced immunogenicity relative to mouse antibodies

include but are not limited to single-chain antibodies (Huston et al., Proc. Natl. Acad. Sci. USA 1988:85:5879-5883 and Bird et al., Science 1988:242:423-426); antibody fragments such as Fab, (Fab')₂ and Fv made using recombinant DNA methods; human
5 antibodies made using phage display methods (Dower et al., WO91/17271; McCafferty et al., WO92/001047; and Winter, WO92/20791) or using transgenic animals (Lonberg et al., WO93/12227; Kucherlapati WO91/10741); bifunctional antibodies (e.g., PCT/US92/10140); and antibodies with altered constant
10 regions (e.g., U.S. Patent No. 5,624,821).

A genetically engineered antibody is said to have reduced immunogenicity relative to a mouse antibody from which it is derived, or to be less immunogenic, if when injected into humans or other primate species, it on average causes a
15 reduced HAMA response. That is, the recipient generates less than 2-fold, 5-fold, preferably 10- or 100-fold less titer of antibodies against the injected genetically engineered antibody than against the mouse antibody when similarly administered, as measured by standard assays (see, e.g.,
20 Hakimi et al., *J. Immunol.* 1991: 147: 1352-1359), especially when administered at least 1, 2, 5 or 14 times in a daily, weekly or every other week regimen. The antibody is said to be (essentially) non-immunogenic if when administered at least 1, 2, 5 or 14 times in a daily, weekly or every other week
25 regimen to humans or other primates, few or no (i.e., less than about 10% or 20% but preferably less than 1% or 2%) recipients develop a detectable or significant HAMA response, or a HAMA response that requires cessation of treatment or renders treatment ineffective. For example, humanized anti-
30 Tac has reduced immunogenicity relative to mouse anti-Tac in monkeys (Hakimi et al., *supra*) and is (essentially) non-immunogenic in human patients, as shown in the clinical trials described below. A chimeric antibody to the p55 subunit of the IL-2 receptor antibody, chRFT5, is also non-immunogenic in
35 human patients (Amlot et al., *op. cit.*).

II. Pharmaceutical Compositions

For administration to patients, the genetically engineered, chimeric or humanized monoclonal antibody to p55 are typically formulated in a pharmaceutically acceptable carrier. That is, the antibodies can be used in the manufacture of a medicament for treatment of solid organ transplant patients. A variety of aqueous carriers can be used, e.g., water for injection (WFI), or water buffered with phosphate, citrate, acetate, etc. to a pH typically of 5.0 to 8.0, most often 6.0 to 7.0, and/or containing salts such as sodium chloride, potassium chloride, etc. to make isotonic. The carrier can also contain excipients such as human serum albumin, polysorbate 80, sugars or amino acids to protect the active protein. The concentration of fusion protein in these formulations varies widely from about 0.1 to 100 mg/ml but is often in the range 1 to 10 mg/ml. The formulated monoclonal antibody is particularly suitable for parenteral administration, and can be administered as an intravenous infusion or by subcutaneous, intramuscular or intravenous injection.

III. Therapeutic Methods

The antibodies of the invention are administered to patients before, during and/or after transplant of a solid organ to reduce, prevent or delay acute organ rejection. The solid organ can be cadaveric or non-cadaveric and is preferably cadaveric. If non-cadaveric, the donor can be living-related or living-unrelated. The donor organ may have 0, 1, 2 or more HLA mismatches with the recipient. For example, the methods are useful for treating renal transplant patients. Such patients may require a renal transplant because of end stage renal disease (ESDR) due to any cause such as glomerulonephritis, polycystic kidney disease, diabetes mellitus or hypertension. The methods of the invention are especially useful when the recipient is at high risk of rejecting the transplant, e.g., for second transplants, poorly HLA matched organs, multiple-organ transplants, and black recipients.

Antibody is administered in a therapeutically effective dosage regime to reduce, prevent or delay the incidence of acute graft rejection following the transplant. In some methods, a single dose of about 1 mg/kg of antibody is administered about every other week, commencing immediately prior to transplantation and continuing until 8 weeks after transplantation, and the maximum amount of antibody administered in a single dose can be about 100 mg. In other methods, the dose is 0.25- 0.5 mg/kg, 1.5 mg/kg or a fixed unit dose of, e.g., 5 mg, 10 mg or 20 mg.

Usually between 2 and 5 doses, (e.g., 2, 3, 4 or 5) are administered over a period of about 2 weeks to 2 months in order to prevent (i.e., reduce the incidence of) acute rejection episodes for a period of at least 2 or 3 but preferably 6 or 12 months after transplantation. Alternatively, the monoclonal antibody can be administered daily, biweekly, weekly, every other week, monthly or at some other interval for 1 week, 2 weeks, 4 weeks, 8 weeks, 3-6 months or longer.

Antibody to the p55 subunit of the IL-2 receptor can be administered with a therapeutically effective dosage of one or more additional immunosuppressive agents, such as mycophenolate mofetil (Cellcept®), cyclosporine (in its Sandimmune®, Neoral® or generic forms), methotrexate, azathioprine, prednisone or methylprednisone or other suitable corticosteroid, or tacrolimus, OKT3, anti-lymphocyte globulin (e.g., thymoglobulin) or rapamycin. Preferably, the antibody is administered with a standard immunosuppressive regimen consisting of cyclosporine and prednisone or methylprednisone; or of cyclosporine, azathioprine, and prednisone or methylprednisolone; or with mycophenolate mofetil and corticosteroid with or without cyclosporin. Corticosteroids such as prednisone, methylprednisone, prednisolone and methylprednisolone have similar effects in human patients and can be administered interchangeably by a physician when using the methods of the invention. The data presented in the examples show that administration of monoclonal antibody in combination with a standard immunosuppressive regime can

result in a statistically significant reduction in the incidence of (e.g., biopsy-proven) acute rejection episodes in the six-month period following the transplant, compared to the standard immunosuppression alone. Such administration also reduces the mean number of rejection episodes per patient and increases the mean time to first rejection. This treatment regime can also increase graft and/or patient survival when measured after 6 and/or 12 months. These benefits can be achieved without a significant increase in serious adverse events, e.g., infection or lymphoproliferative disorder.

EXAMPLE 1

a. EXPERIMENTAL DESIGN AND CONTROL METHODS

HAT (Zenapax; humanized anti-Tac) was evaluated in a multicenter, randomized, double-blind, placebo controlled trial in patients receiving their first renal allograft from a cadaveric donor. Standard immunosuppressive therapy included cyclosporine A and prednisone or methylprednisone. Patients randomized to the control arm received placebo and those in the active treatment arm received HAT. The incidence of acute rejection episodes in the first 6 months post transplant in both groups was compared and the data evaluated for trends. A total of 275 patients were enrolled in the study, 134 in the placebo arm and 141 in the Zenapax arm.

Patients were randomized to receive in a blinded manner 5 doses of either placebo or 1.0 mg/kg of HAT as a 15 minute intravenous infusion every other week beginning immediately prior to transplant. The maximum dose of study drug was 20 mL which, in the case of those patients receiving HAT, was equivalent to 100 mg of antibody. After the initial pre-transplant dose, subsequent doses of study drug were given within ± 2 days from day 14, day 28, day 42 and day 56 of the day of transplant.

b. PATIENT SELECTION CRITERIA

Eligible patients for the protocol were 18 years and older and receiving their first renal allograft from a cadaveric donor. If of child bearing age, patients consented to ensure effective contraceptive for 4 months post transplant and the potential benefits of the transplant outweighed the potential risks.

Patients excluded were:

(a) patient who have received a previous renal allograft;

(b) patients who have received previous treatment with an IL-2 directed monoclonal antibody or other investigational agent that would interfere with the ability to evaluate the safety, efficacy, or pharmacokinetics of HAT;

(c) patients with significant active infection;

(d) patients with a positive T-cell lymphocytotoxic crossmatch using donor lymphocytes and recipient serum;

(e) patients receiving any multiple-organ transplant;

(f) patients whose life expectancy is severely limited by diseases other than renal disease;

(g) patients with a history of cancer (other than non-melanoma skin cancer) within the past 5 years;

(h) patients with a known contraindication to systemic steroids or cyclosporine; and

(i) pregnant or lactating females.

All patients were treated with cyclosporine and prednisone or methylprednisone for the prevention of rejection.

5 An initial dose of 5 mg/kg bid of cyclosporine or a comparable dose of Neoral was administered orally with adjustment to maintain blood levels in each center's established therapeutic range. The first dose was given perioperatively, from 12 hours pre-transplant to 24 hours post transplant. At the discretion of the investigator during this 10 time period, and whenever the patient was unable to take oral medications, cyclosporine was administered at a dose of 3 mg/kg/d by continuous intravenous infusion. The dose was adjusted to maintain blood levels within the center's established therapeutic range. Patients who experienced 15 delayed function in the immediate post transplant period were withdrawn from the study if other antibody therapy was administered or if cyclosporine was discontinued. Prednisone or methylprednisone was given per center protocol.

20 The first-line treatment for clinically documented rejection episodes was methylprednisolone, per center protocol. Histologic confirmation of the first episode was obtained within 24 hours of initiating treatment with corticosteroids, and the study drug and other 25 immunosuppressive therapy remained unchanged during this initial rejection therapy. At the discretion of the investigator, azathioprine was given. Biopsy of steroid-resistant rejection and of any subsequent episodes was at the discretion of the investigator. Upon completion of the 3 days of methylprednisolone pulse therapy, the steroid dose was 30 returned to the pre-rejection dose level within 14 days.

35 OKT3 or other anti-lymphocyte therapy was the second line therapy for rejection and was used as first-line treatment if the investigator felt that the episode was severe enough to require it. The dose of anti-lymphocyte therapy and the duration of therapy was determined by the standard of care at each center. Whenever anti-lymphocyte therapy was administered, cyclosporine, steroids, and the study drugs were continued. The cyclosporine dose was halved until anti-

lymphocyte therapy was complete, at which time full-dose cyclosporine was resumed.

d. STUDY PARAMETERS

5

The primary efficacy parameter was the number of patients who, according to assessments performed at their respective centers, developed histologically documented acute rejection episodes in the first 6 months post transplant. A presumptive diagnosis of an acute episode of rejection was based on one or more of the following clinical findings: Temperature > 100°F orally, graft swelling, graft tenderness, >0.3 mg/dL rise in serum creatinine, rising blood pressure, oliguria, reduced flow of perfusion, extraction or excretion profile on renal scan, or ultrasound findings consistent with rejection

10

15

Histological confirmation of rejection was required, and the biopsy specimen assessed according to the following Banff schema:

20

<u>Biopsy finding</u>	<u>Banff Classification</u>
Normal, minor changes, or infiltrates without tubular invasion	Normal or other (non-specific changes)
Very mild lymphocytic invasion of tubules (tubulitis)	Borderline changes
Widespread interstitial infiltrate with moderate invasion of tubules	Mild acute rejection (Grade I)
(A) Widespread interstitial infiltrate with severe invasion of tubules and/or (B) Mild or moderate intimal arteries	Moderate acute rejection (Grade II)

30

35

- Severe intimal arteritis, and/or "transmural" arteritis, fibrinoid change, and medial smooth muscle cell necrosis often with patchy infarction and interstitial hemorrhage.
- 5 Hyaline arteriolar thickening (new onset, not present in implantation biopsy) and/or extensive isometric vacuolization of tubules, smooth muscle degeneration, thrombotic microangiopathy.
- 10 Tubular cell loss and necrosis, regenerative changes.
- 15 "Other," acute tubular necrosis
- 20 Interstitial fibrosis, tubular atrophy (new onset arterial fibrous intimal thickening suggests chronic rejection)
- Chronic transplant nephropathy ("chronic rejection")
- (Absent = Grade 0, Mild = Grade 1, Moderate = Grade 2, Severe = Grade 3)
- 25 In the event a core biopsy specimen could not be obtained, diagnosis of rejection was established by analysis of renal allograft aspirate, and assessed as evidence of acute rejection according to the total blast count > 0 and a score of > 3.0 in a representative aspirate (as judged by the
- 30 presence of more than 5 tubular cells per field).

Secondary efficacy parameters were:

1. Number of acute rejection episodes per patient in the first 6 months post transplant;
2. Time to first acute rejection episode;

3. Incidence of delayed function;
4. Graft function post transplant;
5. Number of patients with > 1 rejection episode in the first 6 months post transplant;
6. Graft failure post transplant;
7. Documented infections in the first 6 months post transplant;
8. Patient survival post transplant;
9. Cumulative dose of prednisone in the first 6 months post transplant;
10. Cumulative dose of OKT3 or other anti-lymphocyte therapy in the first 6 months post transplant; and
11. Post transplant incidence of lymphoproliferative disorders; and
12. Post transplant incidence of malignancies.

The initial acute rejection was defined as a histologically confirmed event that was characterized by one of the above clinical findings for an acute episode of rejection and that resulted in therapy with corticosteroids or anti-lymphocyte therapy. Each subsequent rejection episode was defined as an event that was characterized by one of the above clinical findings for an acute episode of rejection and that resulted in a course of treatment either with higher doses of methylprednisolone or with at least 5 days of anti-lymphocyte therapy.

e. TRIAL MEDICATION

The study was double-blinded.

The formulation contained 5 mg/mL HAT and 0.2 mg/mL Polysorbate-80 in 67 mM phosphate buffer, pH adjusted to 6.9. The appropriate quantity of antibody solution at 5 mg/mL or placebo (maximum 20 mL) was diluted with 50 mL of normal saline in a mini-bag.

The route of administration was intravenous infusion over a period of 15 minutes.

The concentration of antibody was 5 mg protein per milliliter.

Patients received either placebo or HAT beginning immediately prior to transplant and followed by four additional doses, one dose every other week.

f. STATISTICAL CONSIDERATION

Time to first acute rejection episode was analyzed using survival analysis techniques including Kaplan-Meier plots, and log rank test stratified by center. All variables were analyzed using the stratified Mantel-Haenszel test (stratified by center).

The number of acute rejection episodes per patient was analyzed based on normal regression model as well as a Poisson regression model.

g. RESULTS

The incidence of biopsy proven rejection in the first six months posttransplant was 47% in the placebo group and 28% in the Zenapax group. This 40% reduction in rejection was significant at a p value of 0.001. In addition, the time to first rejection episode was significantly longer in those patients who received Zenapax ($p = 0.0001$) and the number of rejection episodes per patient (0.51 per patient vs. 0.83 per patient) was significantly less in the Zenapax group ($p = 0.004$). Significantly fewer patients in the Zenapax arm received additional antilymphocytic therapy (11 vs 22 patients, $p = 0.02$). The cumulative dose of corticosteroids showed a significant reduction in the Zenapax arm relative to the placebo arm ($p = 0.01$).

Patient survival at six months after transplantation was improved from 96% for the placebo arm to 100% for the Zenapax

arm, while graft survival was improved from 86% to 91%. Patient survival at twelve months after transplantation was improved from 94% for the placebo arm to 99% for the Zenapax arm ($p = 0.01$), while graft survival was improved from 83% to 88%. No specific and particular acute side effects or any allergic reactions in the Zenapax group were noted, and the total number of adverse events in the placebo and Zenapax groups were essentially the same.

EXAMPLE 2

a. EXPERIMENTAL DESIGN AND CONTROL METHODS

HAT was evaluated in a multi center, randomized, double-blind, placebo controlled trial in patients receiving their first renal allograft from a cadaveric donor. Standard immunosuppressive therapy included cyclosporine A, azathioprine, and methylprednisolone. Patients randomized to the control arm received placebo and those in the active treatment arm received HAT. The incidence of acute rejection episodes in the first 6 months post transplant in both groups was compared and the data evaluated for trends. A total of 260 patients were enrolled in the study, 134 in the placebo arm and 126 in the Zenapax arm.

Patients were randomized to receive in a blinded manner 5 doses of either placebo or 1.0 mg/kg of HAT as a 15 minute intravenous infusion every other week beginning immediately prior to transplant. The maximum dose of study drug was 20 mL which, in the case of those patients receiving HAT, was equivalent to 100 mg of antibody. After the initial pre-transplant dose, subsequent doses of study drug were given within ± 2 days from day 14, day 28, day 42 and day 56 of the day of transplant.

b. PATIENT SELECTION CRITERIA

Eligible patients for the protocol were 18 years and older and receiving their first renal allograft from a cadaveric donor. If of child bearing age, patients consented to ensure effective contraceptive for 4 months post transplant

and the potential benefits of the transplant outweighed the potential risks.

Patients excluded were:

- (a) patient who have received a previous renal allograft;
- (b) patients who have received previous treatment with an IL-2 directed monoclonal antibody or other investigational agent that would interfere with the ability to evaluate the safety, efficacy, or pharmacokinetics of HAT;
- (c) patients with significant active infection;
- (d) patients with a positive T-cell lymphocytotoxic crossmatch using donor lymphocytes and recipient serum;
- (e) patients receiving any multiple-organ transplant;
- (f) patients whose life expectancy is severely limited by diseases other than renal disease;
- (g) patients with a history of cancer (other than non-melanoma skin cancer) within the past 5 years; and
- (h) patients with a known contraindication to systemic steroids, azathioprine, or cyclosporine.

All patients were treated with cyclosporine, azathioprine, and methylprednisolone for the prevention of rejection.

An initial dose of 5 mg/kg bid of cyclosporine (or a comparable dose of Neoral) was administered orally with adjustment to maintain blood levels in each center's established therapeutic range. The first dose was given perioperatively, from 12 hours pre-transplant to 24 hours post transplant. At the discretion of the investigator during this time period, and whenever the patient was unable to take oral medications, cyclosporine was administered at a dose of 3 mg/kg/d by continuous intravenous infusion. The dose was adjusted to maintain blood levels within the center's established therapeutic range. Patients who experienced

delayed function in the immediate post transplant period were withdrawn from the study if other antibody therapy was administered or if cyclosporine was discontinued.

5 Azathioprine was administered at a dose of 4 mg/kg IV in the operating room, then 1.5-2.0 mg/kg/day IV or PO. The daily oral dose was decreased for a white blood of less than 5,000 cells/mm³, but was not increased.

10 Methylprednisolone was administered as follows: 7 mg/kg IV in the operating room; 3 mg/kg on day 1, 2 mg/kg IV on day 2, tapered to 20-30 mg/day PO by day 30; tapered to 10-20 mg/day by day 90; and tapered to 5-10 mg/day by day 180.

15 The first-line treatment for clinically documented rejection episodes was methylprednisolone, 7 mg/kg IV daily. Histologic confirmation of the first episode was obtained within 24 hours of initiating treatment with high dose corticosteroids, and the study drug and other immunosuppressive therapy remained unchanged during this initial rejection therapy. Biopsy of steroid-resistant rejection and of any subsequent episodes was at the discretion of the investigator. Upon completion of the 3 days of methylprednisolone pulse therapy, the steroid dose was returned to the pre-rejection dose level within 14 days.

20 OKT3 or other anti-lymphocyte therapy was the second line therapy for rejection and was used as first-line treatment if the investigator felt that the episode was severe enough to require it. The dose of anti-lymphocyte therapy and the duration of therapy was determined by the standard of care at each center. Whenever anti-lymphocyte therapy was administered, cyclosporine, steroids, and the study drug were continued. At the discretion of the investigator, azathioprine was discontinued and/or the cyclosporine dose was halved until anti-lymphocyte therapy was complete, at which time azathioprine and full-dose cyclosporine was resumed. In addition, methylprednisone was administered at a dose of 8 mg/kg intravenously 1 to 4 hours prior to the first dose of anti-lymphocyte therapy, followed by a taper of steroids over a maximum period of 30 days to the pre-rejection dose level.

d. STUDY PARAMETERS

The primary efficacy parameter was the number of patients who, according to assessments performed at their respective centers, developed historically documented acute rejection episodes in the first 6 months post transplant. A presumptive diagnosis of an acute episode of rejection was based on one or more of the following clinical findings: Temperature > 100°F orally, graft swelling, graft tenderness, > 0.3 mg/dL rise in serum creatinine, rising blood pressure, oliguria, reduced flow of perfusion, extraction or excretion profile on renal scan, or ultrasound findings consistent with rejection.

Histological confirmation of rejection was required, and the biopsy specimen assessed according to the following Banff schema:

	<u>Biopsy finding</u>	<u>Banff Classification</u>
	Normal, minor changes, or infiltrates without tubular invasion	Normal or other (non-specific changes)
5	Very mild lymphocytic invasion of tubules (tubulitis)	Borderline changes
10	Widespread interstitial infiltrate with moderate invasion of tubules	Mild acute rejection (Grade I)
15	(A) Widespread interstitial infiltrate with severe invasion of tubules and/or (B) Mild or moderate intimal arteries	Moderate acute rejection (Grade II)
20	Severe intimal arteritis, and/or "transmural" arteritis, fibrinoid change, and medial smooth muscle cell necrosis often with patchy infarction and interstitial hemorrhage	Severe acute rejection (Grade III)
25	Hyaline arteriolar thickening (new onset, not present in implantation biopsy) and/or extensive isometric vacuolization of tubules,	Other, cyclosporine toxicity
30	smooth muscle degeneration, thrombotic microangiopathy	
35	Tubular cell loss and necrosis, regenerative changes	"Other," acute tubular necrosis
	Interstitial fibrosis, tubular atrophy (new onset	Chronic transplant

arterial fibrous intimal
thickening suggests chronic
rejection)

nephropathy ("chronic
rejection")
(Absent = Grade 0, Mild =
Grade 1, Moderate = Grade 2,
Severe = Grade 3)

5

In the event a core biopsy specimen could not be
obtained, diagnosis of rejection was established by analysis
of renal allograft aspirate, and assessed as evidence of acute
rejection according to the total blast count > 0 and a score
of > 3.0 in a representative aspirate (as judged by the
presence of more than 5 tubular cells per field).

10

15

Secondary efficacy parameters were:

1. Number of acute rejection episodes per patient in
the first 6 months post transplant;

2. Time to first acute rejection episode;

3. Incidence of delayed function;

20

4. Graft function post transplant;

5. Number of patients with > 1 rejection episode in
the first 6 months post transplant;

6. Graft failure post transplant;

25

7. Documented infections in the first 6 months post
transplant;

8. Patient survival post transplant;

9. Cumulative dose of prednisone in the first 6 months
post transplant;

30

10. Cumulative dose of OKT3 or other anti-lymphocyte
therapy in the first 6 months post transplant;

11. Post transplant incidence of lymphoproliferative
disorders; and

12. Post transplant incidence of malignancies.

35

The initial acute rejection was defined as a
histologically confirmed event that was characterized by one
of the above clinical findings for an acute episode of
rejection and that results in therapy with corticosteroids or

anti-lymphocyte therapy. Each subsequent rejection episode was defined as an event that was characterized by one of the above clinical findings for an acute episode of rejection and that resulted in a course of treatment either with
5 methylprednisone 7 mg/kg/ day or with at least 3 days of OKT3.

e. TRIAL MEDICATION

The study was double-blinded.

The formulation contained 5 mg/mL HAT and 0.2 mg/mL
10 Polysorbate-80 in 67 mM phosphate buffer, pH adjusted to 6.9. The appropriate quantity of antibody solution at 5 mg/mL or placebo (maximum 20 mL) was diluted with 50 mL of normal saline in a mini-bag.

The route of administration was intravenous infusion
15 over a period of 15 minutes.

The concentration of antibody was 5 mg protein per milliliter.

Patients received either placebo or HAT beginning immediately prior to transplant and followed by four
20 additional doses, one dose every other week.

f. STATISTICAL CONSIDERATION

Time to first acute rejection episode was analyzed using survival analysis techniques including Kaplan-Meier plots, and
25 log rank test stratified by center. All categorical variables were analyzed using the stratified Mantel-Haenszel test (stratified by center).

The number of acute rejection episodes per patient in the first 6 months was analyzed based on normal regression
30 models as well as a Poisson regression model.

g. RESULTS

The incidence of biopsy proven rejection in the first six months posttransplant was 35% in the placebo group and 22%
35 in the Zenapax group. This 37% reduction in rejection was significant at a p value of 0.03. In addition, the time to first rejection episode was significantly longer in those patients who received Zenapax (p = 0.008) and the number of

rejection episodes per patient (0.33 vs 0.57 per patient) was significantly less in the Zenapax group ($p = 0.01$).

5 Patient survival at six months after transplantation was improved from 97% for the placebo arm to 99% for the Zenapax arm, while graft survival was improved from 91% to 98% ($p = 0.02$). Patient survival at twelve months after transplantation was improved from 96% for the placebo arm to 98% for the Zenapax arm, while graft survival was improved from 90% to 95% ($p = 0.08$). Administration of Zenapax was not
10 associated with any immediate side effects, and there was no significant difference in reported and observed adverse events between the placebo and Zenapax treated patients.

15 All publications and patent applications cited above are herein incorporated by reference in their entirety for all purposes to the same extent as if each individual publication or patent application were specifically and individually indicated to be so incorporated by reference. Although the present invention has been described in some detail by way of illustration and example for purposes of clarity and
20 understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims.

SEQUENCE LISTING

(1) GENERAL INFORMATION:

5

(i) APPLICANT: LIGHT, Susan
QUEKEN, Cary

10

(ii) TITLE OF INVENTION: Method of preventing acute rejection
following solid organ transplantation

(iii) NUMBER OF SEQUENCES: 2

15

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Townsend and Townsend and Crew
(B) STREET: 379 Lytton Avenue
(C) CITY: Palo Alto
(D) STATE: California
(E) COUNTRY: US
(F) ZIP: 94301

20

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk
(B) COMPUTER: IBM PC compatible
(C) OPERATING SYSTEM: PC-DOS/MS-DOS
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

25

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:
(B) FILING DATE:
(C) CLASSIFICATION:

30

(vii) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: USSN 60/026,643
(B) FILING DATE: September 24, 1996

35

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Smith, William M
(B) REGISTRATION NUMBER: 30,223
(C) REFERENCE/DOCKET NUMBER: 011823-008000

40

(ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: (650) 326-2400
(B) TELEFAX: (650) 326-2422

45

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 116 amino acids
 (B) TYPE: amino acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: protein

(iii) HYPOTHETICAL: NO

(ix) FEATURE:

- (A) NAME/KEY: Protein
 (B) LOCATION: 1..116
 (D) OTHER INFORMATION: /note= "Variable region of the PDL
 humanized anti-Tac antibody heavy chain."

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

Gln Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Ser
 1 5 10 15
 Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Ser Tyr
 20 25 30
 Arg Met His Trp Val Arg Gln Ala Pro Gly Gln Gly Leu Glu Trp Ile
 35 40 45
 Gly Tyr Ile Asn Pro Ser Thr Gly Tyr Thr Glu Tyr Asn Gln Lys Phe
 50 55 60
 Lys Asp Lys Ala Thr Ile Thr Ala Asp Glu Ser Thr Asn Thr Ala Tyr
 65 70 75 80
 Met Glu Leu Ser Ser Leu Arg Ser Glu Asp Thr Ala Val Tyr Tyr Cys
 85 90 95
 Ala Arg Gly Gly Gly Val Phe Asp Tyr Trp Gly Gln Gly Thr Leu Val
 100 105 110
 Thr Val Ser Ser
 115

(2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 106 amino acids

(B) TYPE: amino acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: unknown

(ii) MOLECULE TYPE: protein

(iii) HYPOTHETICAL: NO

(ix) FEATURE:

(A) NAME/KEY: Protein

(B) LOCATION: 1..106

(D) OTHER INFORMATION: /note= "Variable region of the PDL
humanized anti-Tac antibody light chain."

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Asp Ile Gln Met Thr Gln Ser Pro Ser Thr Leu Ser Ala Ser Val Gly
1 5 10 15

Asp Arg Val Thr Ile Thr Cys Ser Ala Ser Ser Ser Ile Ser Tyr Met
20 25 30

His Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys Leu Leu Ile Tyr
35 40 45

Thr Thr Ser Asn Leu Ala Ser Gly Val Pro Ala Arg Phe Ser Gly Ser
50 55 60

Gly Ser Gly Thr Glu Phe Thr Leu Thr Ile Ser Ser Leu Gln Pro Asp
65 70 75 80

Asp Phe Ala Thr Tyr Tyr Cys His Gln Arg Ser Thr Tyr Pro Leu Thr
85 90 95

Phe Gly Gln Gly Thr Lys Val Glu Val Lys
100 105

CLAIMS

1 1. A method of preventing acute rejection following
2 renal transplantation, comprising administering to a patient
3 in need of such prevention a therapeutically effective dosage
4 of a chimeric or humanized monoclonal antibody that binds to
5 the p55 subunit of the human interleukin-2 (IL-2) receptor and
6 inhibits binding of IL-2 to an IL-2 receptor.

1 2. The method of claim 1, wherein said monoclonal
2 antibody is a humanized monoclonal antibody.

1 3. The method of claim 2, wherein said humanized
2 monoclonal antibody competes with a humanized anti-Tac
3 antibody having a heavy chain variable region designated SEQ.
4 ID. No. 1 and a light chain variable region designated SEQ.
5 ID. No. 2 for binding to the p55 subunit of the IL-2 receptor.

1 4. The method of claim 1, wherein said monoclonal
2 antibody is a humanized anti-Tac antibody.

1 5. The method of claim 1, wherein the monoclonal
2 antibody is administered in combination with an effective
3 dosage of at least one immunosuppressive agent other than
4 monoclonal antibody.

1 6. The method of claim 5, wherein the at least one
2 immunosuppressive agent is selected from the group consisting
3 of mycophenolate mofetil, cyclosporine, methotrexate,
4 azathioprine, a corticosteroid, tacrolimus and rapamycin.

1 7. The method of claim 6, wherein the corticosteroid
2 is selected from the group consisting of prednisone,
3 methylprednisone, prednisolone, and methylprednisolone.

1 8. The method of claim 6 wherein the at least one
2 immunosuppressive agent is cyclosporine and corticosteroid.

1 9. The method of claim 8, wherein the monoclonal
2 antibody has shown in a clinical trial a statistically
3 significant reduction in rejection episodes for the 6 months
4 following transplantation compared with administering
5 cyclosporine and corticosteroid without the monoclonal
6 antibody.

1 10. The method of claim 8, further comprising
2 monitoring the condition of the patient for a reduction in
3 rejection episodes attributable to administration of the
4 monoclonal antibody.

1 11. The method of claim 10 wherein the patient receives
2 a renal transplantation from a cadaver.

1 12. The method of claim 3 wherein the therapeutically
2 effective dosage is a single dose of about 1 mg/kg of antibody
3 administered intravenously about every other week, commencing
4 before transplantation and continuing until at least 8 weeks
5 after transplantation.

1 13. A method of reducing the incidence of rejection
2 episodes following a renal transplant in a patient comprising
3 administering to the patient a therapeutically effective dose
4 of a genetically engineered monoclonal antibody that binds to
5 the p55 unit of the IL-2 receptor with an affinity constant of
6 at least 10^8 M^{-1} .

1 14. The method of claim 13 wherein said monoclonal
2 antibody comprises the complementarity determining regions
3 (CDRs) from a mouse antibody.

1 15. The method of claim 14 wherein said monoclonal
2 antibody is less immunogenic than the mouse antibody in
3 primates.

1 16. The method of claim 15 wherein said antibody is
2 humanized anti-Tac.

1 17. The method of claim 13, wherein the monoclonal
2 antibody is administered in combination with a standard
3 immunosuppressive therapy comprising cyclosporine and
4 corticosteroid to the patient, whereby the incidence of
5 rejection episodes in the patient is reduced over a period of
6 six months compared with the incidence of rejection episodes
7 in a population of renal transplant patients administered the
8 standard immunosuppressive therapy without the monoclonal
9 antibody.

1 18. The method of claim 17, wherein the antibody is
2 administered for between 2 and 12 weeks.

1 19. The method of claim 13, wherein said affinity
2 constant is at least 10^9 M^{-1} .

1 20. A method of preventing acute rejection following
2 transplant of a solid organ, comprising administering to a
3 patient in need of such prevention a therapeutically effective
4 dose of a non-immunogenic genetically engineered, chimeric or
5 humanized monoclonal antibody that competitively inhibits
6 binding of the humanized anti-Tac antibody comprising a heavy
7 chain variable region designated SEQ. ID. No. 1 and a light
8 chain variable region designated SEQ. ID. No. 2 to the p55
9 subunit of the human interleukin-2 (IL-2) receptor.

1 21. The method of claim 20, wherein the solid organ is
2 a kidney or liver.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/16915

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 39/395

US CL : 424/133.1, 144.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/133.1, 144.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS; STN medline, embase, biosis, scisearch

terms: IL-2R, antibody, humanized, TAC, p55, cyclosporin

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	BROWN, P. S. JR. et al. Anti-Tac-H, a humanized antibody to the interleukin-2 receptor, prolongs primate cardiac allograft survival. Proc. Natl. Acad. Sci. (USA). April 1991, Vol. 88, pages 2663-2667, see entire document.	1-4, 12-20 — 5-11, 21
Y	JUNGHANS, R. P. et al. Anti-Tac-H, a humanized antibody to the interleukin 2 receptor with new features for immunotherapy in malignant and immune disorders. Cancer Research. 01 March 1990, Vol. 50, No. 5, pages 1495-1502, see entire document.	1-21

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"B" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

24 NOVEMBER 1997

Date of mailing of the international search report

23 DEC 1997

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

P. PIERRE VANDERVEGT

Telephone No. (703) 308-0196

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/16915

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	KIRKMAN, R. L. et al. Early experience with anti-Tac in clinical renal transplantation. Transplantation Proceedings. February 1989, Vol. 21, No. 1, pages 1766-1768, see entire document.	1-21
Y	CARPENTER, C. B. et al. Prophylactic use of monoclonal anti-IL-2 receptor antibody in cadaveric renal transplantation. Amer. J. Kidney Dis. November 1989, Vol. 14, No. 5, Suppl. 2, pages 54-57, see entire document.	1-21
Y	REED, M. H. et al. Prolongation of primate renal allograft survival by anti-Tac, an anti-human IL-2 receptor monoclonal antibody. Transplantation. January 1989, Vol. 47, No. 1, pages 55-59, see entire document.	1-21
Y	KIRKMAN, R. L. et al. A randomized prospective trial of anti-Tac monoclonal antibody in human renal transplantation. Transplantation. January 1991, Vol. 51, No. 1, pages 107-113, see entire document.	1-21
Y	KUPIEC-WEGLINSKI, J. W. et al. Cyclosporine potentiates the immunosuppressive effects of anti-interleukin 2 receptor monoclonal antibody therapy. Transplantation Proceedings. April 1988, Vol. 20, No. 2, Suppl. 2, pages 207-216, see entire document.	5-11
A	WALDMANN, T. A. The IL-2/IL-2 receptor system: a target for rational immune intervention. Immunology Today. June 1993, Vol. 14, No. 6, pages 264-270, see entire review article.	1-21
A	HAKIMI, J. et al. Humanized Mik β 1, a humanized antibody to the IL-2 receptor β -chain that acts synergistically with humanized anti-TAC. J. Immunol. 15 July 1993, Vol. 151, No. 2, pages 1075-1085, see entire document.	1-21